

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : 2673  
Serial No. : 09/504,235                                      Examiner : David L. Lewis  
Filed : February 15, 2000  
Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

#25

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 and 892 (4 total pages) that accompanied the information disclosure statements filed:


January 11, 2001;  
August 27, 2002 (also Request for Initialed PTO-1440 Form filed December 2, 2002);  
December 18, 2002; and  
February 19, 2003 (also Transmittal of English Translation filed April 7, 2003).

Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible. Note, applicants must pay the issue fee by February 14, 2005, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: \_\_\_\_\_

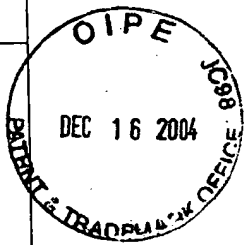
12/16/04

  
John F. Hayden  
Reg. No. 37,640

Fish & Richardson P.C.  
1425 K Street, N.W.  
11th Floor  
Washington, DC 20005-3500  
(202) 783-5070 telephone  
(202) 783-2331 facsimile

afy

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Attorney's Docket No. 07977-008004	Express Mail Label No.	Mailing Date December 16, 2004	<b>For PTO Use Only</b> <i>Do Not Mark in This Area</i>  
Application No. 09/504,235	Filing Date February 15, 2000	Attorney/Secretary Init JFH/afy	
Title of the Invention METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE			
Applicant Shunpei Yamazaki et al.			
Client Reference No. US2938D1D2			
Enclosures Request For Initialed PTO Form 1449 (5 pages)			

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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>07977-008004</b>	Application No. <b>09/504,235</b>
	Applicant <b>Yamazaki, et al.</b>		
	Filing Date <b>February 15, 2000</b>	Group Art Unit <b>2673</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	4-170520	Jun 18, 1992	Japan			FULL	
	AM							
	AN							
	AO							
	AP							

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

# **Notice of References Cited**

Application No.  
09/013,960

Applicant(s)  
Yamazaki et al.

Examiner  
M. Wilczewski

Group Art Unit  
2822

Page 1 of 1

## **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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C	5,618,739 /	4/1997	Takahashi et al.	438	158
D	5,488,012 /	1/1996	McCarthy	---	---
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G					
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L					
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## **FOREIGN PATENT DOCUMENTS**

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N	2-154232 /	6/1990	JP	(unknown)	---	---
O						
P						
Q						
R						
S						
T						

## **NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
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V		
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	Applicant Yamazaki, et al.			
	Filing Date February 15, 2000		Group Art Unit 2673	

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	AA	5 569 610	Oct. 29, 1996	Zhang et al.			
	AB	5 614 733	Mar 25, 1997	Zhang et al.			
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	AG						
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	AI						
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	AK						

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							Yes	No
	AL	4-178633	6/25/1992	JP			ABSTRACT	
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004-US2938D1D2	Application No. 09/504,235
	Applicant Yamazaki, et al.		
	Filing Date February 15, 2000	Group Art Unit 2673	

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	AA	4,766,477	8/23/1988	Nakagawa, et al.			
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Foreign Patent Documents or Published Foreign Patent Applications								
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							Yes	No
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	AM							
	AN							
	AO							
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